

Terms & Conditions – HIN Transfer Promotion

1. The information contained in these "Terms and Conditions - HIN Transfer Promotion" sets forth your rights and obligations with respect to receiving up to AU\$385 dollars worth of brokerage, which can be equated to fifty (50) trades. $AU\$7.70$ (standard brokerage fee) \times 50 = AU\$385.

Please ensure you read these Terms and Conditions prior to entering into any transaction with GO Markets Securities.

2. Get \$385 worth of brokerage to trade with. "Trades" are defined as buying or selling securities on the ASX and Chi-X Exchanges.

3. Fees: No HIN transfer fees apply.

4. Eligibility: This offer is open to new clients only. A "new client" means any person or entity that has not previously had a Share Trading account with Go Markets Securities. To qualify, the Market Value of the HIN transferred must be greater than AUD \$10,000. The valuation price will be calculated on the securities' last traded price when we received the HIN.

5. Eligible clients will receive up to a maximum of fifty (50) trades at zero cost. This equates to AU\$385. The zero brokerage trades must be used from 1 April 2022 to 31 December 2022. Any remaining zero brokerage transactions will become invalid and not available for use. At the end of the promotion period, all promotional zero brokerage trades will expire, and the standard brokerage fee of \$7.70 will apply to each transaction.

6. Free brokerage is only available for a total trade value under AUD \$100,000. Any trade over the value of AUD \$100,000 will be charged the rate of 0.05% (including GST) of the trade value.

7. An Off Market Transfer is not an eligible transfer for this promotion. The transfer should not involve a change of beneficiary. Refer to the Registration comparison table below for details.

8. Your registration details with GO Markets Securities (i.e., Name and address) must match the details held with the other sponsoring broker.

Refer to the Registration comparison table below for details.

Registration comparison table

GO Markets Securities Pty Ltd

ABN 24 653 400 527.
CAR (No.1292963) of
Sanlam Private Wealth
(AFSL 337927).

Level 11 | 447 Collins Street,
Melbourne VIC 3000 Australia

support.shares@gomarkets.com
AU: 1800 88 55 71

GO Markets Securities Registration details	Other sponsoring broker Registration details	Comment
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Details match. Your Share transfer request will be processed.
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie 23 Jump Street South Yarra Vic 3141	Address details differ. Share transfer will not be successful.
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie & Mrs Fitch Crombie 11 Collins Street Melbourne Vic 3000	Change of beneficial ownership. Share transfer will not be successful.

9. Eligible clients can transfer multiple HINs for different account types, e.g. Individual, joint, corporate and trust. \$385 worth of free brokerage will then be applied to each eligible account.

10. After a client uses all 50 free brokerage trades, the standard brokerage fee of \$7.70 will apply to each trade thereafter.

11. GO Markets Securities will automatically apply the zero-brokerage promotion to any eligible transactions conducted by the new account holder.

12. This offer is not available to clients where an advisor is authorised to handle the investments and trading of the client's account on their behalf.

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13. Go Markets Securities Pty Ltd reserves the right to cancel the promotion at any time, with or without notice and has discretion to revoke this promotion from any client's account.
 14. This promotion cannot be used in conjunction with any other GO Markets Securities promotion.
 15. This promotion is only valid for Australian residents only.
 16. As a condition of accepting these Terms and Conditions, you agree to be bound by all other Terms and Conditions and Financial Services Guide (the "Legal Documents") between you and GO Markets Securities Pty Ltd. If terms in these Terms and Conditions contradict with the Legal Documents, the Legal Documents shall prevail.
 17. GO Markets Securities will not be held liable or responsible for any loss of use, data, losses related to a trade, reputation, or revenue, and/or any consequential, incidental, special, or punitive damages or other direct or indirect losses of any kind resulting from participation in the promotion.
 18. To be eligible for this promotion, you must successfully open a Share trading account (account number allocated) and an active Macquarie Cash Management Account (CMA) that is linked to your trading account.
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Terms & Conditions – Share Transfer Promotion

1. The information contained in this "Terms and Conditions - Share Transfer Promotion" sets forth your rights and obligations with respect to receiving up to fifty (50) free trades for transferring individual shareholdings from your existing shareholdings held with another Broker.
2. Each share transfer successfully transferred will receive 5 free brokerages.
3. Eligible clients can transfer a maximum of 10 share transfers per account for different account types, e.g. Individual, joint, corporate and trust.
4. A minimum of \$500 stock value per share transfer. The valuation price will be calculated on the securities last traded price when we received the stock transfer.
5. Fees: No Share transfer fees apply.

GO Markets Securities Pty Ltd

6. Free brokerage is only available for a total trade value under AUD \$100,000. Any trade over the value of AUD \$100,000 will be charged the rate of 0.05% (including GST) of the trade value.

7. Off Market Transfer is not permitted. The transfer should not involve a change of beneficiary. See Registration comparison table for details.

8. Your registration details with GO Markets Securities (i.e., Name and address) must match the details held with the other sponsoring broker.

See Registration comparison table for details.

Registration comparison table

GO Markets Securities Registration details	Other sponsoring broker Registration details	Comment
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Details match. Your Share transfer request will be processed.
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie 23 Jump Street South Yarra Vic 3141	Address details differ. Share transfer will not be successful.
Mr Aber Crombie 11 Collins Street Melbourne Vic 3000	Mr Aber Crombie & Mrs Fitch Crombie 11 Collins Street Melbourne Vic 3000	Change of beneficial ownership. Share transfer will not be successful.

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9. Eligibility: This offer is open to new clients and existing clients only. A “new client” means any person or entity that has not previously opened or maintained a Share Trading account with Go Markets Securities. An “existing client” means any person or entity that has an existing open Share Trading account with Go Markets Securities.
10. Eligible clients will receive up to a maximum of fifty (50) share investing trades with zero brokerage fee. The zero brokerage trades must be used during the promotional period of 1 April 2022 to 31 December 2022. Any remaining zero brokerage trades will become invalid and not available for use. At the end of the of the promotion period, all promotional zero brokerage trades are expired and the standard brokerage fee of \$7.70 will apply to each trade.
11. After a client uses all eligible free brokerage trades, the standard brokerage fee of \$7.70 will apply to each trade thereafter.
12. GO Markets Securities will automatically apply the zero brokerage trades to any eligible transactions conducted by the client.
13. This offer is not available to clients where an advisor is authorised to handle the investments and trading of the client’s account on their behalf.
14. Go Markets Securities reserves the right to cancel the promotion at any time, with or without notice and has discretion to revoke this promotion from any client’s account.
15. This promotion cannot be used in conjunction with any other GO Markets Securities promotion.
16. This promotion is only valid for Australian clients.
17. As a condition of accepting these Terms and Conditions, you agree to be bound by all other Terms and Conditions and Financial Services Guide (the “Legal Documents”) between you and GO Markets Securities Pty Ltd. If terms in these Terms and Conditions contradict with the Legal Documents, the Legal Documents shall prevail.
18. GO Markets Securities will not be held liable or responsible for any loss of use, data, losses related to a trade, reputation, or revenue, and/or any consequential, incidental, special, or punitive damages or other direct or indirect losses of any kind resulting from participation in the promotion.
19. To be eligible for this promotion, you must first successfully open a Share trading account (account number allocated) and an active Macquarie Cash Management Account (CMA) that is linked to your trading account.

GO Markets Securities Pty Ltd

Frequently asked questions

1. What is a HIN Transfer?

Is the process involving moving your current Holder Identification Number (HIN) from your current sponsoring Broker to another Broker. This process does not involve any change of beneficial ownership.

2. What is a Stock Transfer?

Is the process involving moving your current individual shareholdings from your current HIN to a different HIN. This process does not involve any change of beneficial ownership.

3. What is an Off Market Transfer (OMT)?

An OMT is a transfer from one party to another resulting in a change of beneficial ownership. An example of an OMT is where you transfer your shareholding to a friend or a family member as a gift.

4. What is CHES?

CHES (Clearing House Electronic Sub Register System) is an automated system operated and owned by the ASX. CHES facilitates the clearing and settlement of trades and maintains the shareholding registry details. CHES sponsored accounts are allocated a HIN (Holder Identification Number) where all your share investments are held.

5. What is a HIN?

HIN (Holder Identification Number) is a unique and confidential number issued by CHES to identify an account and its registered holdings. Your HIN can be located on your trading platform and from a CHES statement which starts with an 'X'. For example: X00123456789

6. I already have a HIN with GO Market Securities, how do I transfer another HIN?

Since you already have a HIN with us and can only have one HIN per account, you can transfer your shareholdings individually over to your GO Markets Securities HIN by simply completing our share transfer form.

7. Do I need to do anything to enable my Zero brokerage on my Share trading account?

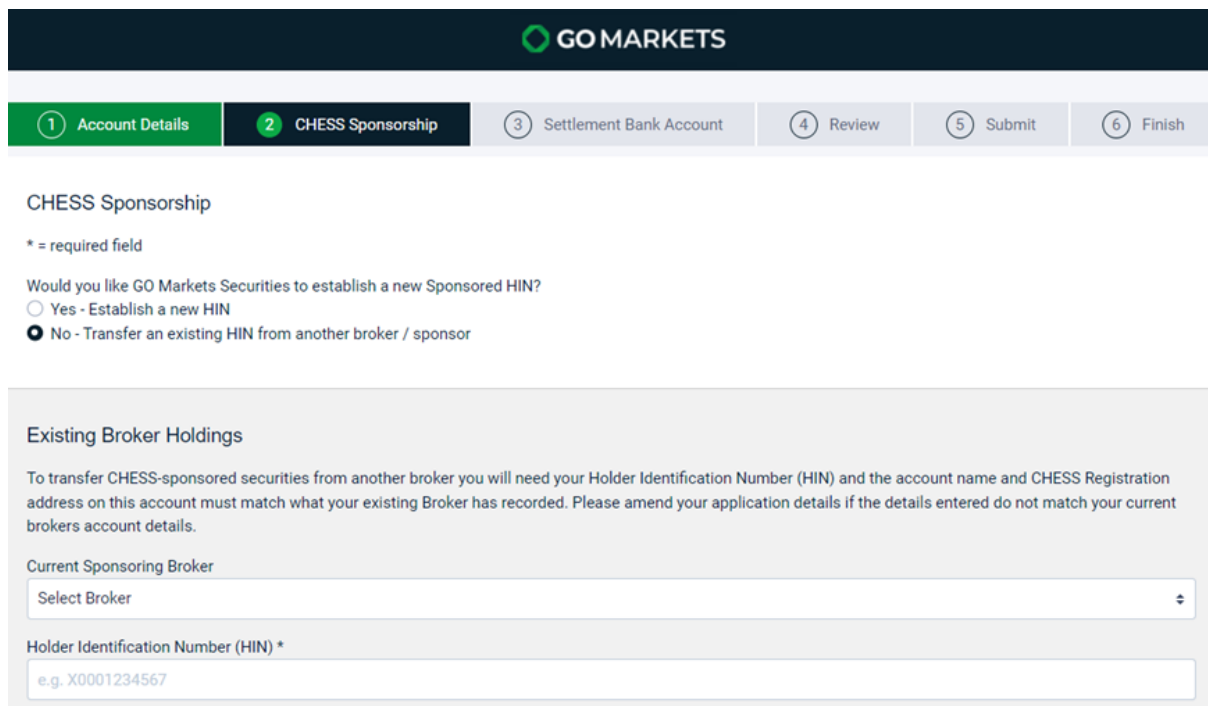
No. If you have met all the promotion criteria and your Transfer request is successful, the zero brokerage will be applied automatically.

GO Markets Securities Pty Ltd

How it works

1. HIN Transfer

In Section 2 of the online application process, simply select “Transfer an existing HIN from another broker” and provide your Broker and HIN details.



The screenshot shows the GO Markets application interface. At the top, the GO MARKETS logo is displayed. Below it is a progress bar with six steps: 1. Account Details, 2. CHES Sponsorship (highlighted in green), 3. Settlement Bank Account, 4. Review, 5. Submit, and 6. Finish.

The main content area is titled "CHES Sponsorship". It includes a legend: "* = required field". Below this is a question: "Would you like GO Markets Securities to establish a new Sponsored HIN?". There are two radio button options: "Yes - Establish a new HIN" and "No - Transfer an existing HIN from another broker / sponsor". The "No" option is selected.

Below the question is a section titled "Existing Broker Holdings". It contains the following text: "To transfer CHES-sponsored securities from another broker you will need your Holder Identification Number (HIN) and the account name and CHES Registration address on this account must match what your existing Broker has recorded. Please amend your application details if the details entered do not match your current brokers account details." Below this text are two input fields: "Current Sponsoring Broker" with a dropdown menu showing "Select Broker", and "Holder Identification Number (HIN) *" with a text input field containing "e.g. X0001234567".

Once your application is submitted, you will be provided a pre-populated Transfer form to download. Please check and complete the details; sign and upload with your ID. Your HIN transfer request will be processed together with your Share Trading and CMA applications.

We will notify you once your HIN has successfully transferred across.

2. Share Transfer

If you are an existing GO Markets Share trading client and already have a HIN allocated, you may individually transfer your Shares across from your other HIN sponsored Broker. Simply complete, attach your ID and sign our Stock transfer form which can be downloaded [here](#) and return by email to operations.shares@gomarkets.com

We will notify you once your Shareholding/s has successfully transferred across.

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